

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Arnold Miller  
Serial No.: 09/944,899  
Filing Date: 08/31/2001  
For: ENDOVASCULAR FASTENER AND GRAFTING  
APPARATUS AND METHOD  
Group Art Unit: 3731  
Examiner: Jessica R. Baxter  
Attorney's Docket No.: AM-4A

Commissioner For Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

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OCT 16 2003  
TECHNOLOGY CENTER R3700

Sir:

INFORMATION DISCLOSURE STATEMENT

Pursuant to 37 CFR 1.56, 1.97 and 1.98, Applicants hereby make the following documents of record in the above-identified application:

U.S. Patents

- (1) U.S. Patent No. 4,366,819 issued 01-04-1983 to Kaster
- (2) U.S. Patent No. 4,485,816 issued 12-04-1984 to Krumme
- (3) U.S. Patent No. 4,665,906 issued 05-19-1987 to Jervis
- (4) U.S. Patent No. 4,669,473 issued 06-02-1987 to Richards et al.
- (5) U.S. Patent No. 5,002,563 issued 03-26-1991 to Pyka et al.
- (6) U.S. Patent No. 5,067,957 issued 11-26-1991 to Jervis
- (7) U.S. Patent No. 5,190,546 issued 03-02-1993 to Jervis
- (8) U.S. Patent No. 5,219,358 issued 06-15-1993 to Bendel et al.
- (9) U.S. Patent No. 5,259,394 issued 11-09-1993 to Bens
- (10) U.S. Patent No. 5,540,701 issued 07-30-1996 to Sharkey et al.
- (11) U.S. Patent No. 5,258,000 issued 11-02-1993 to Gianturco
- (12) U.S. Patent No. 5,575,800 issued 11-19-1996 to Gordon
- (13) U.S. Patent No. 5,597,378 issued 01-28-1997 to Jervis
- (14) U.S. Patent No. 5,601,572 issued 02-11-1997 to Middleman et al.
- (15) U.S. Patent No. 5,626,588 issued 05-06-1997 to Sauer et al.
- (16) U.S. Patent No. 5,695,504 issued 12-09-1997 to Gifford et al.
- (17) U.S. Patent No. 5,810,851 issued 09-22-1998 to Yoon
- (18) U.S. Patent No. 5,810,882 issued 09-22-1998 to Bolduc et al.
- (19) U.S. Patent No. 5,830,221 issued 11-03-1998 to Stein et al.
- (20) U.S. Patent No. 5,836,955 issued 11-17-1998 to Buelna et al.

AM-4A

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- (21) U.S. Patent No. 5,868,762 issued 02-09-1999 to Cragg et al.
- (22) U.S. Patent No. 5,944,750 issued 08-31-1999 to Tanner et al.
- (23) U.S. Patent No. 5,984,917 issued 11-16-1999 to Fleischman et al.
- (24) U.S. Patent No. 5,997,556 issued 12-07-1999 to Tanner
- (25) U.S. Patent No. 6,132,438 issued 10-17-2000 to Fleischman et al.
- (26) U.S. Patent No. 6,193,734 issued 02-27-2001 to Bolduc et al.
- (27) U.S. Patent No. 6,254,618 issued 07-03-2001 to Dakov
- (28) U.S. Patent No. 6,306,141 issued 10-23-2001 to Jervis
- (29) U.S. Patent No. 6,416,535 issued 07-09-2002 to Lazarus
- (30) U.S. Patent No. 6,425,900 issued 07-30-2002 to Knodel et al.
- (31) U.S. Publication No. 0029048, published 03-07-2002, by Miller
- (32) U.S. Publication No. 0033005, published 02-13-2003, by Houser et al.

Copies of these documents, which are listed on the accompanying Form PTO-1449 (three pages), are enclosed herewith. Applicants respectfully request that these documents be fully considered by the U.S. Patent and Trademark Office during the examination of this application and printed on any patent which may issue on this application. Applicants also respectfully request that a copy of Form PTO-1449 (three pages), as considered and initialed by the Examiner, be returned to the undersigned with the next communication.

It is believed that this disclosure complies with the requirements of 37 CFR 1.56, 1.97 and 1.98. If for any reason the Examiner considers otherwise, it is respectfully requested that the undersigned be contacted by the Examiner by telephone in order that any deficiencies may be expeditiously remedied.

The enclosed documents may have markings thereon. Applicants are not presently aware of the source of those markings, and no significance is meant to be attached thereto.

*A check in the amount of One Hundred Eighty Dollars (\$180.00) payable to the Commissioner of Patents and Trademarks also is enclosed herewith to cover the fee due in connection with this submission.*

Please charge any additional fees due in connection with this submission, or credit any overpayment, to Deposit Account No. 16-0221. A duplicate copy of this submission is enclosed for the convenience of the Examiner.

Thank you.

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October 8, 2003

(DATE OF DEPOSIT)

\_\_\_\_\_  
James A. Sheridan

(NAME OF ATTORNEY)

\_\_\_\_\_  
*James A. Sheridan 10/8/03*

(SIGNATURE)

\_\_\_\_\_  
October 8, 2003

(DATE OF SIGNATURE)

Respectfully submitted,

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OCT 10 2003  
AGENT & TRADE MARK

**Complete if Known**

<b>Application Number</b>	09/944,841
<b>Filing Date</b>	08/31/2001
<b>First Named Inventor</b>	Arnold Miller et al.
<b>Group Art Unit</b>	3731
<b>Examiner Name</b>	G. Jackson
<b>Attorney Docket Number</b>	AM-3A

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Sheet	1	of	3	Attorney Docket Number	AM-3A
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U.S. PATENT DOCUMENTS						
Examiner Initials*	Cite No.1	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number	Kind Code <sup>2</sup> (if known)			
		4,366,819		Kaster	01-04-1983	
		4,485,816		Krumme	12-04-1984	
		4,665,906		Jervis	05-19-1987	
		4,669,473		Richards et al.	06-02-1987	
		5,002,563		Pyka et al.	05-26-1991	
		5,067,957		Jervis	11-26-1991	
		5,078,726		Kreamer	01-07-1992	
		5,190,546		Jervis	03-02-1993	
		5,219,358		Bendel et al.	06-15-1993	
		5,259,394		Bens	11-09-1993	
		5,540,701		Sharkey et al.	07-30-1996	
		5,258,000		Gianturco	11-02-1993	
		5,575,800		Gordon	11-19-1996	
		5,597,378		Jervis	01-28-1997	
		5,601,572		Middleman et al.	02-11-1997	
		5,626,588		Sauer et al.	05-06-1997	
		5,695,504		Gifford et al.	12-09-1997	
		5,782,884		Yoon et al.	07-21-1998	
		5,810,882		Bolduc et al.	09-22-1998	
		5,836,955		Buelna et al.	11-17-1998	

[illegible]


Date	
Considered	

<sup>1</sup> Unique citation designation number. <sup>2</sup> See attached Kinds of U.S. Patent Documents. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

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**Complete if Known**

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<b>Filing Date</b>	08/31/2001
<b>First Named Inventor</b>	Arnold Miller
<b>Group Art Unit</b>	3731
<b>Examiner Name</b>	Jessica R. Baxter
<b>Attorney Docket Number</b>	AM-4A

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Sheet 2 of 3

[illegible][illegible]Date  
Considered

<sup>1</sup> Unique citation designation number. <sup>2</sup> See attached Kinds of U.S. Patent Documents. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

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Approved for use through 10/31/99. OMB 0651-0031  
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Substitute for form 1449B/PTO

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Sheet	3	of	3
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<b>Application Number</b>	09/944,899
<b>Filing Date</b>	08/31/2001
<b>First Named Inventor</b>	Arnold Miller
<b>Group Art Unit</b>	3731
<b>Examiner Name</b>	Jessica R. Baxter
<b>Attorney Docket Number</b>	AM-4A

[illegible]

**Examiner  
Signature**

Date  
Considered

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Unique citation designation number. <sup>2</sup> Applicant is to place a check mark here if English language Translation is attached.

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